#### CONDUCT OF DOCTORAL QUALIFYING EXAMINATION, PROPOSAL AND DISSERTATION DEFENSE

The Doctoral Dissertation must be original and independent research. It must be a worthwhile and significant contribution to scientific knowledge.

The Doctoral Dissertation must demonstrate originality and independence in research. It should make a valuable and significant contribution to the body of scientific knowledge.

The title and outline of the dissertation as recommended by the Dissertation Adviser must be approved by all members of the Dissertation Committee. It must focus on aquaculture-related studies. It should consist of at least three sub-studies, each of which can be written as one regular article suitable for submission to an ISI journal.

In certain cases, the use of secondary data is permissible, but it should not exceed 50% of the total data used in the dissertation. However, this is only allowed if the student was directly involved in the collection of the previous data. Otherwise, the inclusion of secondary data is limited to a maximum of 25%. Prior approval from the Dissertation Committee is necessary before incorporating any secondary data into the Dissertation.

## Doctoral Qualifying Exam for Dissertation

One of the requirements for attaining a Ph.D. degree in Aquaculture is successfully completing the qualifying examination. The purpose of this examination is to evaluate the student's capacity to assimilate and apply the knowledge acquired during their course of study. The qualifying examination comprises both written and oral assessments, which will be administered by the student's Dissertation Committee. The written examination will take place on the first day, followed by the oral examination on the second day.

### Written Examination

The written examination shall be formulated by the student's Dissertation Committee and will integrate all the core and elective courses taken by the student in the Ph.D. program, along with relevant topics and questions related to the student's Dissertation. The specific number of questions for the written examination will be determined by the Dissertation Committee.

To successfully pass, the student must achieve a general weighted average (GWA) of 1.75 or higher. The Dissertation Committee will be responsible for submitting the results of the written examination to the Dean of the UPV Graduate School within one week after the examination has been conducted.

In the event that a student fails the written examination, they may have the opportunity to retake the examination, which will be scheduled no later than one month after the initial examination. However, if the student fails the re-examination, they will be permanently disqualified from the Ph.D. program in Fisheries (Aquaculture).

#### **Oral Examination**

The presence of all members of the student's Dissertation Committee is required during the oral examination. The oral examination will take place on the second day of the Qualifying Examination.

In order to pass, a student must achieve a grade of 1.75 or higher in the oral examination. The results of the oral examination should be promptly submitted to the Dean of the UPV Graduate School on the day following the examination.

If a student fails to achieve a passing grade in the oral examination, they may be given another opportunity to retake the examination. The re-examination will be scheduled no later than one month after the initial oral examination. However, failing the re- examination will result in permanent disqualification from the Ph.D. program in Fisheries (Aquaculture).

After successfully passing the qualifying examination, the student is required to enroll in F-400 within 30 days after the last day of enrollment.

### Defense of the Dissertation Proposal

The purpose of the defense of the dissertation proposal is to assess the student's comprehension of the basic principles relevant to the dissertation problem and his/her readiness to undertake the proposed research. It also provides an opportunity to enhance the proposal further based on feedback and suggestions received during the defense.

The Dissertation Proposal Defense will be administered by the student's Dissertation Committee. In order to pass the examination, the student must receive no more than one negative vote from the committee. In the event of a negative vote, the committee should strive to reach a consensus, or alternatively, the decision of the majority should be followed. In situations where a consensus cannot be reached during the defense of the dissertation proposal, an alternative option is to consider reconstituting the Dissertation Committee. This allows for a fresh evaluation and perspective on the proposal.

In the event of major changes in the dissertation proposal, it will be necessary to conduct another defense to evaluate the revised proposal. In the event that a student fails the Dissertation Proposal Defense, they will be given the opportunity to retake the examination. However, if the student fails the re-examination, they will be disqualified from the Program

All members of the Dissertation Committee must be present during the proposal defense.

### Defense of the Doctoral Dissertation

The defense of the doctoral dissertation is conducted to assess the student's proficiency in articulating and substantiating their research findings, as well as their capability to identify future research directions pertaining to their dissertation. Additionally, it serves as a means to evaluate the student's aptitude for presenting scientific data in a systematic and scholarly manner.

The defense of the doctoral dissertation shall be conducted by the student's Dissertation Committee along with a critic or external examiner. The critic or external examiner is required to be from a different institution or department than the College of Fisheries and Ocean Sciences. The appointment of the critic/external examiner should be made before the scheduled defense of the dissertation.

To ensure adequate preparation and review, the student is responsible for providing a draft of the dissertation to each member of the Dissertation Committee and the external examiner at least two weeks prior to the scheduled defense. This allows ample time for the committee members and external examiner to thoroughly evaluate the dissertation before the defense takes place.

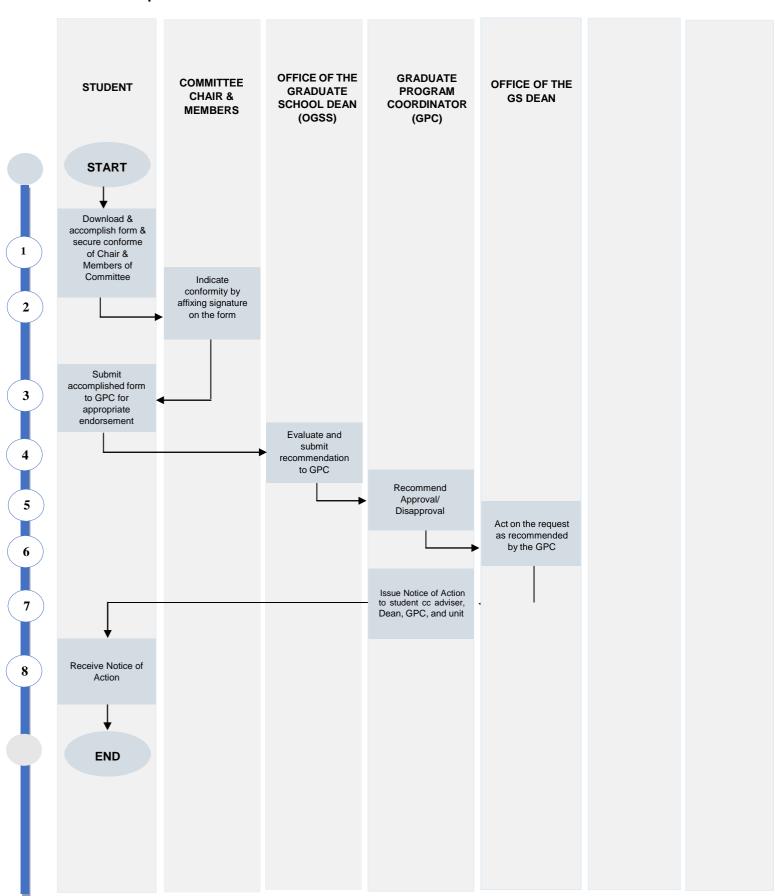
In order to pass the Dissertation Defense, the student must not receive more than one negative vote from the defense panel. If a negative vote occurs, the committee should make efforts to reach a consensus. Alternatively, if a consensus cannot be reached, the decision of the majority should be followed.

If a student fails the initial Dissertation Defense, they will be given the opportunity for another defense. This second defense should take place no earlier than one month but no later than one year after the first defense, contingent upon the unanimous approval of the Dissertation Committee. However, if the student fails the second dissertation defense, they will be permanently disqualified from the Program.

The student is required to submit their application for the defense of the doctoral dissertation at least two weeks prior to the scheduled defense. This allows sufficient time for the necessary arrangements to be made and ensures that all parties involved are adequately prepared for the defense.

Please find attached the flowchart outlining the process for conducting the special problem and thesis defense, along with the accompanying form that requires completion.

## **Conduct of Proposal and Final Dissertation Defense**





# UNIVERSITY OF THE PHILIPPINES VISAYAS GRADUATE SCHOOL

General Luna St., 5000 Iloilo City, Philippines Email Address: gs-secretary.upvisayas@up.edu.ph



# REQUEST FOR CONDUCT OF DOCTORAL QUALIFYING EXAMINATION/ PROPOSAL AND FINAL DISSERTATION DEFENSE

Date	
The Dean Graduate School UP Visayas, Iloilo City	
Dear Sir/Madam:	
I would like to request approval for the conduct of my (plea	ase check box):
Doctoral Qualifying Examination Proposal Dis	ssertation Defense Final Dissertation Defense
on at	the Venue of the Examination
on at  Date and Time of Examination	Venue of the Examination
The title of my Dissertation is	
The members of my Dissertation Committee are:	
Signature of Adviser over Printed Name	Signature of Member over Printed Name
Signature of Member over Printed Name	Signature of Member over Printed Name
Truly yours,	Signature of External Critic over Printed Name (For Final Dissertation Proposal only)
Signature of Student over Printed Name Email Address: Mobile Number:	
Recommendation: Approval / Disapproval	Recommendation: Approval / Disapproval
Graduate Program Coordinator	Graduate School Secretary
ACTION: APPROVED	/ DISAPPROVED
	ool Dean